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Attorney's Docket No.: 01997-273003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mounji G. Bawendi *et al.* Art Unit : Unknown  
Serial No. : 09/832,959 Examiner : Unknown  
Filed : April 12, 2001  
Title : BIOLOGICAL APPLICATIONS OF QUANTUM DOTS

Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as follows:

In the specification:

Insert the following paragraph at page 1, line 11, before "**Field of the Invention**" with the following rewritten paragraph:

-- This invention was made with government support under Grant No. DMR-9400334, awarded by the National Science Foundation. The government has certain rights in the invention.--

REMARKS

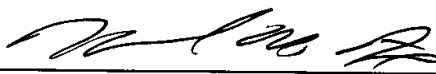
The specification has been amended to insert reference to a government grant. No new matter has been added.

Attached is a marked-up version of the changes being made by the current amendment.

Applicant asks that all claims be examined. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 5-18-01

  
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